



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/846,695	05/01/2001	Charles Steven Lisser	43238/233884

CONFIRMATION NO. 2902

FORMALITIES LETTER



OC00000006230148

000826

ALSTON & BIRD LLP
BANK OF AMERICA PLAZA
101 SOUTH TRYON STREET, SUITE 4000
CHARLOTTE, NC 28280-4000

Date Mailed: 06/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$216.
 - \$216 for 12 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1056.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/22/2001 ETULU1 00000000 050-6045

01 FD-201
02 FD-203
03 FD-205

05/01/01
10/01/01
11/01/01

Customer Service Center

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney's Docket No. 43238/233884

AA

~~Section 28~~
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Lisser
Appl No.: 09/846,695 Confirmation No.: 2902
Filed: May 1, 2001
For: SYSTEMS, METHODS, AND COMPUTER PROGRAM
PRODUCTS FOR COMPARING BUSINESS PERFORMANCE

August 20, 2001

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

**RESPONSE TO NOTICE TO
FILE MISSING PARTS OF APPLICATION**

Sir:

In response to the Notice to File Missing Parts of Application dated June 27, 2001,
enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been
executed by the named inventor(s)
- ☒ Applicant claims small entity status
- ☒ Check in the amount of \$528.00 to cover the filing fee of \$ 463.00 and the \$ 65.00
surcharge under 37 C.F.R. § 1.16(e)
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- ☒ Other: Submittal of Formal Drawings; 13 sheets of drawings

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

James A. Witherspoon
Registration No. 36,723

Customer No. 00826
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope
addressed to: **Box Missing Parts**, Commissioner for Patents, Washington, DC 20231, on August 20, 2001.

Joyce D. Smith